Internal Environmental and Social Checklist for category B projects

Document is meant to discover red flag issues. To be filled by project officer.

COMPANY COMPLIANCE STATEMENTS

B 1. COMPLIANCE WITH THE RELEVANT ENVIRONMENTAL AND SOCIAL GUIDELINES, LAWS AND REGULATIONS

B 1.1 Please confirm that the Company will fully comply with the relevant environmental and social national and local **laws and regulations**: ☐ Confirmed ☐ Not Confirmed

B 1.2 Please confirm that the Company has obtained all environmental and social/ labor **permits and approvals** necessary for the Company and that these are all valid: ☐ Confirmed ☐ Not Confirmed

B 1.3 Please confirm that, within the past two years, there have been no **objections to the Company** and / or project due to environmental or social factors or land issues (ownership, use): ☐ Confirmed ☐ Not Confirmed

B 1.4 Please confirm that the Company has sufficiently **consulted with relevant stakeholders**[1] about the Company's activities in an appropriate manner and in accordance with local requirements: ☐ Confirmed ☐ Not Confirmed

B 1.5 Please confirm that the local communities or other stakeholders have not expressed **concerns about the Company's activities** in the past two years ☐ Confirmed ☐ Not Confirmed

B 2. ENVIRONMENTAL AND SOCIAL MANAGEMENT SYSTEM

B 2.1 Please confirm that scope[2] and significance of environmental, social, health and safety effects of the project have been fully adequately **assessed and evaluated**: ☐ Confirmed ☐ Not Confirmed

B 2.2 Please confirm that all environmental, social, health and safety issues (including fire safety, and emergency response plans) identified in the assessment are **adequately managed**: ☐ Confirmed ☐ Not Confirmed

B 3. LABOR AND WORKING CONDITIONS

Occupational Health & Safety

B 3.1 Please confirm that there have been no fatalities, serious injuries or major health risks (such as fires, explosions, sudden release of hazardous substances, traffic accidents, incidents involving evacuation) caused by or related to the Company within the last two years: ☐ Confirmed ☐ Not Confirmed

ILO Core Labor Standards

B 3.2 Please confirm that no personnel under the age of 18 years is employed and that you have a robust age verification system in place: ☐ Confirmed ☐ Not Confirmed

B 3.3 Please confirm that work is carried out for the Company and its suppliers only voluntarily and not exacted from any individual under threat of force or penalty: ☐ Confirmed ☐ Not Confirmed

B 3.4 Please confirm that the Company has a policy /regulations to prevent workplace discrimination (e.g. gender, ethnicity, political opinion, and religion, national or social origin) with regard to recruitment/promotion/access to training/benefits: ☐ Confirmed ☐ Not Confirmed

B 3.5 Please confirm that workers have the right to organise (e.g. in trade unions) and to be represented on decision making bodies at work and are free to attend meetings on decisions which affect them: ☐ Confirmed ☐ Not Confirmed

Basic Terms and Conditions of Employment (Wage, Working Hours, contracts etc.)

B 3.6 Please confirm that the Company pays all workers (permanent and temporary) at more than the local minimum wage or more than US$ 2 per day for a standard working week, whichever is the higher: ☐ Confirmed ☐ Not Confirmed

B 3.7 Please confirm that daily work time including overtime does not exceed 12 hours for more than a maximum three months per year: ☐ Confirmed ☐ Not Confirmed

Sub-contractors and supply chain labour

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[1] A Stakeholder is an individual or group which may be affected by a company's activities. It is often applied to local communities or groups who live near to a company's assets/ facilities, but also includes employees, investors, Non Governmental Organisations and other groups or individuals.

[2] The scope of the impacts that should be considered is described in the introduction to this questionnaire.

B 3.8 Please confirm that the company contractually binds sub-contractors and tier-one supply chain to comply at least with relevant local health & safety, labor and environmental laws and regulations:	☐ Confirmed ☐ Not Confirmed
B 3.9 Please confirm that no significant portion (e.g. > 50 % by volume) of tier-one suppliers are mines of any kind, or small-scale farmers, or other small scale enterprises:	☐ Confirmed ☐ Not Confirmed

B4. POLLUTION PREVENTION AND ABATEMENT

B 4.1 Please confirm that all industrial and domestic effluents are discharged to municipal sewer with necessary approvals, and confirm that you discharge less than 20,000m^3 of effluent/year:	☐ Confirmed ☐ Not Confirmed
B 4.2 Please confirm that effluents do not contain hazardous or toxic materials	☐ Confirmed ☐ Not Confirmed
B 4.3 Please confirm that the project does not cause any significant nuisance to neighbouring communities (e.g. noise, smell, traffic):	☐ Confirmed ☐ Not Confirmed
B 4.4 Please confirm that all general industrial waste is managed and disposed of in an environmentally sound manner (e.g. it is recycled, re-used, incinerated in dedicated facilities or disposed of in a municipal landfill):	☐ Confirmed ☐ Not Confirmed

B 5. COMMUNITY HEALTH & SAFETY AND SECURITY

B 5.1 Please confirm that there are no significant adverse impacts to community health & safety as a result of Company activities (e.g., traffic and infrastructure risks, hazardous materials or wastes, or risks arising from changes to drainage or flood risk):	☐ Confirmed ☐ Not Confirmed

B 6. BIODIVERSITY, RESETTLEMENT, INDIGENOUS PEOPLE AND CULTURAL HERITAGE

B 6.1 Please confirm that there will be no detrimental impact on natural habitats[3] or endangered species:	☐ Confirmed ☐ Not Confirmed
B 6.2 Please confirm that the Company's tier one suppliers do not obtain materials directly from natural habitats (e.g. wood from forests):	☐ Confirmed ☐ Not Confirmed
B 6.3 If the Company's activities involve harvesting or collection of renewable natural resources (forests, fisheries etc.), please confirm that there is an internationally recognized certification scheme or label in place	☐ Confirmed ☐ Not Confirmed
B 6.4 Please confirm that the Company will not require resettlement (physical or economic displacement[4]) as a result of its activities, and that there has been no resettlement by government or other parties:	☐ Confirmed ☐ Not Confirmed
B 6.5 Please confirm that no indigenous people[5] will be affected by the project:	☐ Confirmed ☐ Not Confirmed

If any of the above issues are ´Not Confirmed´, please provide details

[3] Natural habitats include land and water areas where the biological communities are formed largely by native plant and animal species, and where human activity has not essentially modified the area's primary ecological functions. They include forests, wetlands, unfarmed grasslands, savannah, coral reefs etc.

[4] Economic displacement is the loss of assets, or access to assets, that leads to loss of income or means of livelihood as a result of company-related land acquisition.

[5] Indigenous peoples are social groups whose identities, lifestyles, cultures and history's are distinct and different from dominant groups in society.